



04-13-01

UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: ASSISTANT COMMISSIONER FOR PATENTS

Box PCT

Washington, D.C. 20231

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| U.S. APPLICATION NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 09/719339 | SCHNEIDER | E ADAPP182D |
| JOE A BROCK MARTINE PENILLA & KIM SUITE 170 710 LAKEWAY DRIVE SUNNYDALE, CA 94085 | | |
| INTERNATIONAL APPLICATION NO. | | |
| PCT/US00/10999 | | |
| I.A. FILING DATE | PRIORITY DATE | |
| 24 APR 00 | 12 DEC 96 | |
| DATE MAILED: 23 MAR 2001 | | |

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- a Designated Office (37 CFR 1.494),
- an Elected Office (37 CFR 1.495);
- U.S. Basic National Fee.
- Copy of the international application in:
 - a non-English language.
 - English.
- Translation of the international application into English.
- Oath or Declaration of inventors(s) for DO/EO/US.
- Copy of Article 19 amendments.
- Translation of Article 19 amendments into English.
- The International Preliminary Examination Report in English and its Annexes, if any.
- Translation of Annexes to the International Preliminary Examination Report into English.
- Preliminary amendment(s) filed 12/08/00 and _____.
- Information Disclosure Statement(s) filed 12/08/00 and _____.
- Assignment document.
- Power of Attorney and/or Change of Address.
- Substitute specification filed _____.
- Verified Statement Claiming Small Entity Status.
- Priority Document.
- Copy of the International Search Report and copies of the references cited therein.
- Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
 - The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
 - The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a large entity small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY 21 OR 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR. 494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed:

PCT/DO/EO/917

Notice of Defective Translation

PATENT *#10*

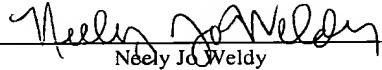
IN THE UNITED STATES PATENTDESIGNATED OFFICE (DO/US)

International Application No.: PCT/US00/10999)
 International Filing Date: April 24, 2000)
 Priority Date Claimed: December 12, 1996)
 U.S. Application No.: 09/719,339)
 Title: WRITE CACHE FLUSHING METHOD FOR)
 REDUCING DATA CORRUPTION (as amended)) Date: April 11, 2001
 Applicant(s) for DO/US: Schneider et al.)
 _____)

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service on April 11, 2001 in an envelope as "Express Mail Post Office to Addressee" service, Mailing Label Number EL815444740US addressed to: Commissioner for Patents, Box PCT, Washington, DC 20231.

Signed:



Neely Jo Weldy

COMPLETION OF FILING REQUIREMENTS FOR INTERNATIONAL APPLICATION
ENTERING NATIONAL STAGE IN U.S. DESIGNATED OFFICE (DO/US) UNDER 35
U.S.C. 371

Commissioner for Patents
Box PCT
 Washington, D.C. 20231

Sir:

In response to the Notification of Missing Requirements mailed on 23 March 2001, enclosed herewith are the following:

- Combined Declaration and Power of Attorney of inventor(s) for DO/EO/US
- Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date--\$130/\$65
- Translation of the international application into English
- Processing fee set forth in § 1.492(f), for acceptance of an English translation later than 20 months after the priority date --\$130.00

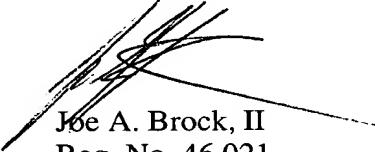
Also enclosed are:

- Verified Statement Claiming Small Entity Status
- An Assignment of the invention to:

- Assignment Recordation Form
- A copy of the Notification of Missing Requirements form

Applicants believe no fees are due in connection with the filing of this Declaration, however, the Commissioner is authorized to charge any other fees that may be due to our Deposit Account No. 50-0805 (Order No.ROXIP182D). A duplicate copy of this sheet is enclosed for this purpose.

Respectfully submitted,
MARTINE PENILLA & KIM, LLP



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